

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

PROPOSAL FORMS

CONNECTICUT AIRPORT AUTHORITY

CONSTRUCTION CONTRACT NO. 2023-012

AIP NO. **3-09-0009-XXX-2023**

CIP NO. 340.23.001

**TAXIWAYS 'C' SOUTH & 'D' WEST SHOULDER
REMOVAL, NEW LIGHTING AND SIGNAGE**

**GROTON-NEW LONDON AIRPORT
GROTON, CONNECTICUT**

PROPOSAL FORMS

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

PROPOSAL FORMS

PAGE LEFT BLANK INTENTIONALLY

CERTIFICATION OF BIDDING REQUIREMENTS

Section 00 0300 - Page 1 of 2

This signature page is included in this Contract Document to provide assistance to all Bidders in the form of a checklist and to stipulate that if any of the items mentioned below, but not limited to, are incomplete or otherwise incorrect, the Connecticut Airport Authority may reject the bid.

I. REQUIRED FORMS AND SIGNATURE

A. Certification of Bidding Requirements.....	page 00 0300-1
B. Bid Summary Form.....	page 00 0310-1
C. Bid Form - Schedule of Bid Prices	page 00 0320-1
D. Anti-Collusion Certificate (Sworn Affidavit) OR	App. p. 00-C-3
E. Anti-Collusion Certificate (Unsworn Affidavit).....	App. p. 00-C-5
F. Disclosure of Lobbying Activities	App. p. 00-C-7
G. Certification Regarding Debarment and Suspension.....	App. p. 00-C-9
H. Certification of Non-Segregated Facilities	App. p. 00-C-11
I. Disadvantaged Business Utilization Plan; and	App. p. 00-C-13
J. Letter of Intent to Perform as a Qualified Disadvantaged Business; OR	App. p. 00-C-15
K. DBE "Good Faith Effort" Form (if applicable)	App. p. 00-C-17
L. Contractor Qualification Form	App. p. 00-C-19
M. Bidder's Project Subcontractors.....	App. p. 00-C-23
N. Certification of Compliance with State Required Contract Requirements and Federally Required Contract Requirements	App. p. 00-C-25
O. Certificate of Buy American Compliance for Manufactured Products	App. p. 00-C-27
P. Equal Employment Opportunity Report Statement.....	App. p. 00-C-29
Q. Bid Bond.....	App. p. 00-C-31
R. Consulting Agreements Representation.....	App. p. 00-C-33
S. Required State of CT OPM Forms	App. p. 00-C-35

II. PROPOSAL SECTION

Unit Price Bids will be considered to be incomplete if any of the following conditions exist:

- A. Unit price in words is omitted
- B. Unit Price in figures is omitted
- C. A zero, N/A, or blank is used as a bid price in the Pricing Form in Procurement.

III. MISCELLANEOUS

- A. All written words and figures shall be in **BLUE** or **BLACK INK** or **TYPED**
- B. All documents provided with the proposal form are considered a part thereof and must not be altered when the proposal is submitted.

IV. CERTIFICATION SUMMARY

I hereby certify that I have read all of the above requirements and understand that it affects the acceptability of my bid(s).

Contractor Signature

Date

END OF SECTION

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

CERTIFICATION OF BIDDING REQUIREMENTS

Section 00 0300 - Page 2 of 2

PAGE LEFT BLANK INTENTIONALLY

BID SUMMARY FORM

Section 00 0310 - Page 1 of 2

BID SUMMARY FORM

FOR THE PERFORMANCE OF

CONNECTICUT AIRPORT AUTHORITY CONSTRUCTION CONTRACT NUMBER: **2023-012**
PROJECT: ***Taxiways 'C' South & 'D' West Shoulder Removal, New Lighting and Signage***
LOCATION: ***Groton-New London Airport, Groton, Connecticut***

SUBMITTED BY: _____

ADDRESS: _____

NAME OF SURETY: _____

TOTAL BID COST IN WORDS _____

TOTAL BID COST IN FIGURES \$ _____

(BIDDER MUST FILL IN ALL ABOVE SPACES)

THE BASIS OF AWARD OF CONTRACT WILL BE THE LOWEST RESPONSIVE TOTAL BID
BASED ON ALL QUANTITIES IN THE PROPOSAL.

NOTE: IN CASE OF A DISCREPANCY BETWEEN WORDS AND FIGURES, THE SUMS
EXPRESSED THE PRICING SECTION OF PROCUREWARE SHALL GOVERN.

END OF SECTION

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BID SUMMARY FORM

Section 00 0310 - Page 2 of 2

PAGE LEFT BLANK INTENTIONALLY

SUMMARY OF WORK AND SPECIAL CONDITIONS

Section 00 0800 - Page 1 of 8

Date: _____

Time: _____

For: **Taxiways 'C' South & 'D' West Shoulder Removal, New Lighting and Signage
Groton-New London Airport
CAA Construction Contract No. 2023-012**

The undersigned, having visited the site of the work and having familiarized themselves with local conditions affecting the cost of the work and with all requirements of the proposed Contract Documents, and duly issued Addenda to said documents, as acknowledged herein, propose to furnish and perform all labor, materials, necessary tools, expendable equipment, and all utility and transportation services necessary to perform and complete in a workmanlike manner all work required by said documents and Addenda.

ADDENDA: Bidder acknowledges receipt of and has examined the Addenda:

Addendum Number _____	dated _____
Addendum Number _____	dated _____
Addendum Number _____	dated _____
Acknowledged by _____	date _____

BID PROPOSAL: This Proposal shall be filled in by the BIDDER with prices written in both words and numerals and the extensions made by him/her. In case of discrepancy between the words and numerals, the amounts provided in the Pricing Form in Procurement shall govern.

BIDDER agrees to perform all necessary labor, furnish all materials and do all work described in the Specifications, including allowances, and shown on the Drawings, for the following lump sum prices and/or unit process:

BID PROPOSAL: Bidder agrees to perform all of the work described in the Contract Documents, including allowances, for the sum of:

_____	\$ _____
(words [shall govern])	(figures)

SUMMARY OF WORK AND SPECIAL CONDITIONS

Section 00 0800 - Page 2 of 8

SIGNATURE OF BIDDER

Company Name: _____

Address: _____

Email: _____

Signature: _____

By: _____

Title: _____

Date: _____

SUMMARY OF WORK AND SPECIAL CONDITIONS

Section 00 0800 - Page 3 of 8

The quantities used in the Schedule of Prices are estimates used for comparison of bids and the Connecticut Airport Authority (Owner) can increase, decrease or delete items in accordance with the General Provisions of the Contract.

LIQUIDATED DAMAGES: Upon execution of the Contract, the Contractor shall proceed with the preparation and submittal of shop drawings and his tentative construction schedule and with subsequent ordering and fabricating of materials. The Owner will issue a written "Notice-to-Proceed" which will specify an effective date for the Contractor to begin work at the site. The contract work shall complete the work within **the calendar days specified** from the date of issuance of the Notice to Proceed to meet the completion date. Should work continue beyond time specified above, and no time extension has been granted via the Change Order process, then liquidated damages will be assessed to the Contractor for each and every calendar day the work remains uncompleted.

Liquidated damages for this project shall be **two-thousand two-hundred dollars (\$2,200.00)** per calendar day for Contractor's failure to complete any key milestone by its intermediate completion date or the Substantial Completion date. Refer to Section 00 0800, Summary of Work and Special Conditions.

The undersigned affirms that in making such bid, neither he or she nor any company that they may represent, nor anyone in behalf of him or her or their company, directly or indirectly, has entered into any combination, collusion, undertaking or agreement with any other bidder or bidders to maintain the prices of said work, or any compact to prevent any other bidder or bidders from bidding on said Contract or work and further affirms that such bid is made without regard or reference to any other bidder or proposer and without any agreement or understanding or combination either directly or indirectly with any other person or persons with reference to such bidding in any way or manner whatsoever.

The undersigned further agrees that if awarded the Contract he or she will commence the work **within ten (10) calendar days** after the date of the Notice to Proceed and that he/she will complete the work in accordance with the Summary Schedule and Key Milestones and Substantial Completion date set forth in the Bidding and Contract Documents or such amended date as may be granted. If the undersigned fails to complete the work as provided in the aforementioned schedule, then and in that event, he or she further expressly agrees that, for each day that any phase of work under this Contract remains uncompleted thereafter the Owner may deduct from the Contract price herein specified the stipulated sum of liquidated damages as provided in the Special Provisions and retain that sum for failure of the undersigned to complete this Contract on or before the expiration of the period shown in the completion schedule.

In submitting this bid, it is understood that the right is reserved by the Owner to waive any informality or irregularity in any bid or bid guaranty, to reject any and all bids, to re-advertise, to award or refrain from awarding a contract for the work and to negotiate with the apparent qualified

SUMMARY OF WORK AND SPECIAL CONDITIONS

Section 00 0800 - Page 4 of 8

low responsive bidder to such extent as may be beneficial to the Owner. The Owner may reject a bid if the Bidder is disqualified.

The undersigned attaches hereto a Bid Bond in the sum of _____ Dollars (\$_____), payable to the Connecticut Airport Authority, as required in the Information to Bidders, and the undersigned agrees that in case he/she fails within ten (10) calendar days after Notice of Intent to Award the Contract to him/her to enter into a Contract Agreement in writing and furnish the required Payment and Performance Bonds, with surety or sureties to be approved by Owner, and insurance policies or endorsements, the Owner may, as its option, determine that the undersigned has abandoned his rights and interest in such bid and that the Bid Bond accompanying his or her bid has been forfeited. Otherwise, the Bid Bond shall be returned to the undersigned upon the execution of the Contract and acceptance of the bonds and insurance, or upon rejection of his or her bid.

A bid shall be considered unresponsive and shall be rejected if it fails to include fully executed statements required under 'Section 00 0300 Bidding Requirements' or if the Bidder fails to furnish required data. When a determination has been made to award the Contract to a specific Contractor, such Contractor shall, prior to award, furnish such other pertinent information regarding his or her own employment policies and practices as well as those of his or her proposed Subcontractors as the Owner may require.

The bidder shall furnish similar statements executed by each of his or her first-tier and second-tier Subcontractors whose contracts equal **Ten Thousand Dollars (\$10,000.00) or more** and shall obtain similar compliance by such Subcontractors before awarding such Subcontractors. No subcontract shall be awarded to any non-complying Subcontractor.

The undersigned affirms that he or she has completed all of the blank spaces in the "Bid Form – Schedule of Prices" provided the Pricing Form section of Procurement, and agrees that where a discrepancy occurs between the prices quoted in words and/or in numbers the amounts provided in the Pricing Form in Procurement shall take precedence and govern when determining final costs or award of Contract.

The undersigned affirms that wages not less than the minimum rates or wages, as predetermined for this project by the State of Connecticut were used in the preparation of this "Bid Form – Schedule of Prices".

SUMMARY OF WORK AND SPECIAL CONDITIONS

Section 00 0800 - Page 5 of 8

SIGNATURE OF BIDDER

Signed: _____
By: _____
Title: _____
Date: _____

This proposal includes Addendum Numbers(s) _____
(Fill in numbers(s) if Addendum(s) are issued).

Address of permanent place of business to which Notice-to-Proceed should be sent:

INCLUDE: E-mail and Phone Number for Primary Point of Contact under this Bid:

*Important: Execute acknowledgment of officer or agent who signs this document
(use proper form below):

SUMMARY OF WORK AND SPECIAL CONDITIONS

Section 00 0800 - Page 6 of 8

ACKNOWLEDGMENT OF PRINCIPAL, IF A CORPORATION:

State of: (_____)

County of: (_____)§

On this _____ day of _____, 20____, before me personally came and appeared _____ to me Known, who, being by me duly sworn, did depose and say to me that he resides at _____, that he/she is the _____ of _____ the corporation described in and which executed the foregoing instrument is an impression of such seal; that it was so affixed by the order of the directors of said corporation, and that he/she signed his/her name thereto by like order.

(Seal)

Notary Public

ACKNOWLEDGMENT OF PRINCIPAL, IF A PARTNERSHIP:

State of (_____)

County of (_____)§

On this _____ day of _____ 20____, before me personally came and appeared _____ to me known and known to me to described in and who executed the foregoing instrument and he acknowledged to me that he/she executed the same as and for the act and deed of said firm.

(Seal)

Notary Public

SUMMARY OF WORK AND SPECIAL CONDITIONS

Section 00 0800 - Page 7 of 8

ACKNOWLEDGMENT OF PRINCIPAL, IF AN INDIVIDUAL:

State of (_____)

County of (_____)§

On this _____ day of _____ 20____, before me personally came and appeared _____ to me known and known to me to be the person described in and who executed the forgoing instrument and acknowledged that he/she executed the same.

(Seal)

Notary Public

END OF SECTION

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

SUMMARY OF WORK AND SPECIAL CONDITIONS

Section 00 0800 - Page 8 of 8

PAGE LEFT BLANK INTENTIONALLY

BIDDER CERTIFICATION FORMS

Page 1 of 34

**DIVISION 00 – APPENDIX C
BIDDER CERTIFICATION FORMS**

Attached are the following Bidder Certification Forms which are required to be submitted as part of the Bid Proposal:

- A. Anti-Collusion Certificate for Contract and Force Account (Sworn Affidavit)
- B. Anti-Collusion Certificate for Contract and Force Account (Unsworn Affidavit)
- C. Disclosure of Lobbying Activities
- D. Certification Regarding Debarment and Suspension
- E. Certification of Nonsegregated Facilities
- F. Disadvantage Business Enterprise Utilization Plan
- G. Letter Of Intent to Perform as a Qualified Disadvantaged Business
- H. Disadvantaged Business Enterprise "Good Faith Effort" Form
- I. Contractor Qualification Form
- J. Bidder's Project Subcontractors
- K. Certification of Compliance with State Required Contract Requirements and Federal Contract Requirements
- L. Certificate of Buy American Compliance For Manufactured Products
- M. Equal Employment Opportunity Report Statement
- N. Bid Bond
- O. Consulting Agreements Representation.
- P. Required State of CT OPM Forms

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 2 of 34

PAGE LEFT BLANK INTENTIONALLY

BIDDER CERTIFICATION FORMS

Page 3 of 34

**ANTI-COLLUSION CERTIFICATE FOR CONTRACT AND FORCE ACCOUNT
(SWORN AFFIDAVIT)**

Title 23, United States Code, Section 112(c), requires, as a condition precedent to approval by the Connecticut Airport Authority (CAA) of the contract for this work, that there be filed a sworn statement executed by, on behalf of, the person, firm, association, or corporation to whom such contract is to be awarded, certifying that such person, firm, association, or corporation has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with such contract. This sworn statement shall be in the form of an affidavit executed and sworn to be the successful bidder before a person who is authorized by the Laws of this State to administer oaths.

The person, firm, association, or corporation submitting the bid (under 23 U.S.C. 112(c)) has the option to sign either:

- a. the sworn affidavit executed and sworn to by the Bidder before a person who is authorized by the laws of the State to administer oaths; or
- b. the unsworn declaration executed under penalty of perjury under the laws of the United States (as allowed by 28 U.S.C. 1746).

A Bidder will not be considered for award of contract under this invitation for bids unless such bidder completes either the unsworn declaration (as stated on the following page) or the sworn affidavit as prescribed below:

PLEASE NOTE: CONTRACTOR TO COMPLETE ONLY ONE (1) ANTI-COLLUSION CERTIFICATION STATEMENT. DO NOT SIGN BOTH STATEMENTS.

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 4 of 34

**ANTI-COLLUSION CERTIFICATE FOR CONTRACT AND FORCE ACCOUNT
(SWORN AFFIDAVIT)**

To the: CONNECTICUT AIRPORT AUTHORITY

State of _____

County of _____ §

I, _____ (name of party signing affidavit)

_____ (title), being duly sworn, do

depose and say: on behalf of _____ that said Contractor has not, either directly or indirectly, entered into any agreement, participated in collusion, or otherwise taken any action in restraint of free competitive bidding in connection with Connecticut Airport Authority (CAA) Construction Contract Number 2023-012, Airport Improvement Program (AIP) Project Number AIP 3-09-0009-xxx-2023

County of _____

Town/City _____

Contractor

Signature

Sworn to before me this _____ day of _____ 20_____

My commission expires _____

Signature and Seal of Notary Public

BIDDER CERTIFICATION FORMS

Page 5 of 34

**ANTI-COLLUSION CERTIFICATE FOR CONTRACT AND FORCE ACCOUNT
(UNSWORN AFFIDAVIT)**

Title 23, United States Code, Section 112(c), requires, as a condition precedent to approval by the Connecticut Airport Authority (CAA) of the contract for this work, that there be filed a unsworn declaration executed by, on behalf of, the person, firm, association, or corporation submitting the bid, certifying that such person, firm, association, or corporation has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with submitted bid. This unsworn statement shall be in the form of a declaration executed under penalty of perjury under the laws of the United States.

The person, firm, association, or corporation submitting the bid (under 23 U.S.C. 112(c)) has the option to sign either:

- a. the sworn affidavit executed and sworn to by the bidder before a person who is authorized by the laws of the State to administer oaths; or
- b. the unsworn declaration executed under penalty of perjury under the laws of the United States (as allowed by 28 U.S.C. 1746).

A bidder will not be considered for award of contract under this invitation for bids unless such bidder completes either the sworn affidavit (as stated on the previous page) or the unsworn declaration as prescribed below:

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 6 of 34

**ANTI-COLLUSION CERTIFICATE FOR CONTRACT AND FORCE ACCOUNT
(UNSWORN AFFIDAVIT)**

To the: CONNECTICUT AIRPORT AUTHORITY

State of _____

County of _____ §

I, _____ (name of party signing affidavit)

_____ (title), under penalty of perjury

under the laws of the United States, do depose and say: on behalf of _____
that said Contractor has not, either directly or indirectly, entered into any agreement,
participated in collusion, or otherwise taken any action in restraint of free competitive bidding in
connection with Connecticut Airport Authority (CAA) Construction Contract Number 2023-012
Airport Improvement Program (AIP) Project Number AIP 3-09-0009-xxx-2023.

County of _____

Town/City _____

Contractor _____

Signature _____

Sworn to before me this ____ day of _____ 20____

My commission expires _____

Signature and Seal of Notary Public

BIDDER CERTIFICATION FORMS

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

1. Type of Federal Action: a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action a. bid/offer/application b. initial award c. post-award	3. Report Type a. initial filing b. material change For Material Change Only: Year _____ Quarter _____ Date of last report _____
4. Name and Address of Reporting Entity:	5. If Reporting Entity in No. 4 is Subawardee, Enter Name and address of Prime:	
6. Federal Department Agency:	7. Federal Program Name/Description:	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10a. Name and Address of Lobbying Entity, (if individual, last name, first name, MI)	10b. Individuals performing Services (including address if different from No. 10a.), (if individual, last name, first name, MI)	
11. Amount of Payment (check all that apply): \$ _____ actual planned	13. Type of Payment (check all that apply) a. retainer b. one-time fee c. commission d. contingent fee e. deferred f. other specify _____	
12. Form of Payment (check all that apply): a. cash b. In-kind; specify; nature _____ value _____		
14. Brief Description of services performed or performed and date(s) of Service, including officer(s), employer(s), or member(s) contacted for payment indicated in Item 11.		
15. Continuation Sheet(s) SF-LLL-A attached: Yes No		
16. Information requested through this form is authorized by Title 31 U.S.C. Section 1362. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each failure	Signature: _____ Print Name: _____ Title: _____ Telephone No. _____ Date: _____	
<i>Federal Use Only</i>		Authorized for Local Reproduction Standard Form - LLL

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 8 of 34

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Reporting Entity: _____ Page _____ of _____

Authorized for Local Reproduction Standard Form - LLL

BIDDER CERTIFICATION FORMS

Page 9 of 34

**CERTIFICATION REGARDING DEBARMENT AND SUSPENSION
(BIDDER OR OFFERER)**

By submitting a bid/proposal under this solicitation, the Bidder or offeror certifies that at the time the Bidder or offeror submits its proposal that neither it nor its principals are presently debarred or suspended by any Federal department or agency from participation in this transaction.

**CERTIFICATION REGARDING DEBARMENT AND SUSPENSION
(SUCCESSFUL BIDDER REGARDING LOWER TIER PARTICIPANTS)**

The successful Bidder, by administering each lower tier subcontract that exceeds \$25,000 as a "covered transaction", must verify each lower tier participant of a "covered transaction" under the project is not presently debarred or otherwise disqualified from participation in this federally assisted project. The successful bidder will accomplish this by:

1. Checking the System for Award Management at website: <http://www.sam.gov>
2. Collecting a certification statement similar to the Certificate Regarding Debarment and Suspension (Bidder or Offeror), above.
3. Inserting a clause or condition in the covered transaction with the lower tier contract

If the FAA later determines that a lower tier participant failed to tell a higher tier that it was excluded or disqualified at the time it entered the covered transaction, the FAA may pursue any available remedy, including suspension and debarment.

Signature of Authorized Agent

Date

PRINT Name and Title of Authorized Agent

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: [2023-012](#)

BIDDER CERTIFICATION FORMS

Page 10 of 34

PAGE LEFT BLANK INTENTIONALLY

NOTICE OF NONSEGREGATED FACILITIES REQUIREMENT

Notice to Prospective Federally Assisted Construction Contractors

1. A Certification of Non-segregated Facilities shall be submitted prior to the award of a federally-assisted construction contract exceeding \$10,000 which is not exempt from the provisions of the Equal Opportunity Clause.
2. Contractors receiving federally-assisted construction contract awards exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause will be required to provide for the forwarding of the following notice to prospective subcontractors for supplies and construction contracts where the subcontracts exceed \$10,000 and are not exempt from the provisions of the Equal Opportunity Clause.
3. The penalty for making false statements in offers is prescribed in 18 U.S.C. § 1001.

Notice to Prospective Subcontractors of Requirements for Certification of Non-Segregated Facilities

1. A Certification of Non-segregated Facilities shall be submitted prior to the award of a subcontract exceeding \$10,000, which is not exempt from the provisions of the Equal Opportunity Clause.
2. Contractors receiving subcontract awards exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause will be required to provide for the forwarding of this notice to prospective subcontractors for supplies and construction contracts where the subcontracts exceed \$10,000 and are not exempt from the provisions of the Equal Opportunity Clause.
3. The penalty for making false statements in offers is prescribed in 18 U.S.C. § 1001.

CERTIFICATION OF NONSEGREGATED FACILITIES

The undersigned federally-assisted construction contractor certifies that she or he does not maintain or provide, for his employees, any segregated facilities at any of his establishments and that she or he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally-assisted construction contractor certifies that she or he will not maintain or provide, for his employees, segregated facilities at any of his establishments and that she or he will not permit his employees to perform their services at any location under his control where segregated facilities are maintained. The federally-assisted construction contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this contract.

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 12 of 34

As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms, and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directives or are, in fact, segregated on the basis of race, color, religion, or national origin because of habit, local custom, or any other reason. The federally-assisted construction contractor agrees that (except where she or he has obtained identical certifications from proposed subcontractors for specific time periods) she or he will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause and that she or he will retain such certifications in his files.

Signed and Submitted on this date: _____

By this (circle one): Contractor Subcontractor Supplier

Business Name: _____

Address: _____

Telephone Number: _____

Fax Number: _____

Email Address: _____

Name and Title of Authorized Signer _____
(please type)

Signature of Authorized Signer _____

Note: The penalty for making false statements in offers is prescribed in 18 USC §1001

BIDDER CERTIFICATION FORMS

DISADVANTAGED BUSINESS ENTERPRISE UTILIZATION PLAN

The undersigned hereby further assures that the information included herein is true and correct, and that the DBE firm(s) listed herein have agreed to perform a commercially useful function in the work items noted for each firm. The undersigned further understands that no changes to this statement may be made without prior approval from the Civil Right Staff of the Federal Aviation Administration.

1. Name of Project _____

2. Number of Project _____

3. Total Dollar Amount of Bid _____

4. Disadvantaged Business Enterprise Participation Dollar (\$) Goal _____

a. Name of Bidder _____

Address _____

Phone(s) _____

b. If the Bidder is a Disadvantaged Business Enterprises (Contractors, suppliers, vendors), the services they will provide and the approximate amount of money they will receive. (Include joint venture partner, if applicable.)

Total of listed amounts \$ _____

(Use back of sheet for additional space, if needed)

Contractor: _____

By: _____

Address: _____

Phone Number: _____

Zip Code: _____

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: [2023-012](#)

BIDDER CERTIFICATION FORMS

Page 14 of 34

PAGE LEFT BLANK INTENTIONALLY

BIDDER CERTIFICATION FORMS

**LETTER OF INTENT TO PERFORM
AS A QUALIFIED DISADVANTAGED BUSINESS**

To: _____ Project: _____
(Name of Contractor)

Project #: _____

The undersigned intends to perform work in connection with the above project as (check one):

_____ an individual _____ a corporation
_____ a partnership _____ a joint venture

The undersigned certifies the company is a Disadvantaged Business Enterprise as defined and registered by the Connecticut Department of Transportation, Bureau of Finance & Administration, Division of Contract Compliance.

The undersigned is prepared to perform the following described work in connection with the above project (specify in detail work to be performed).

Subcontractor: _____ Contract Amount _____
(Name)

Supplier: _____ Contract Amount _____
(Name)

The Bid Price agreed upon is: _____ Dated _____

The undersigned agrees that the contract conditions above are true and accurate and will be performed by the above, who will enter into a formal agreement for the above work with you, conditioned upon your execution of a contract with

Name of company, signature and title of authorized official of the company and the date must be properly executed on this document or the bid will be deemed nonresponsive.

(Date)

(Name of Disadvantaged Company)

*As determined by:

(Signature of Authorized Official)

(Title of Authorized Official)

(PRINT NAME of Authorized Official)

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 16 of 34

PAGE LEFT BLANK INTENTIONALLY

BIDDER CERTIFICATION FORMS

**DISADVANTAGED BUSINESS ENTERPRISE (DBE)
"GOOD FAITH EFFORT" FOR NOT ACHIEVING GOAL FORM**

(Required for All Bids Requesting to Not Achieve the 9.98 % DBE Goal)

I, _____, _____, of _____
(Name) (Title) (Company)

certify that on _____, I contacted the following DBE Contractors to obtain a bid
(date)
for work items to be performed on Contract Number _____.

<u>DBE Contractor Name and Address</u>	<u>Work Items Sought</u>
_____	_____
_____	_____
_____	_____

To the best of my knowledge and belief, said DBE Contractor was unavailable (exclusive of unavailability due to lack of agreement on price) for work on this project, or unable to prepare a bid for the following reason(s):

Signature _____
Date _____

This portion to be completed by DBE Contractor:

_____ was offered an opportunity to bid or make an offer
(Name of DBE)
on the above identified work on _____ by _____.
(Date) (Source)

The above statement is a true and accurate account of why I did not submit an offer/bid on this project.

(Signature of DBE)

(Title)

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 18 of 34

PAGE LEFT BLANK INTENTIONALLY

BIDDER CERTIFICATION FORMS

CONTRACTOR QUALIFICATION FORM

Instructions:

Elaboration of the following required information is necessary to ascertain your firm's capabilities to effectively execute the Project. Pursuant to the Information to Bidders of Division 0 Section 0100, your completion of this Contractor Qualification Form is MANDATORY and will be utilized by CAA as a basis for determining the qualified lowest bid, and subsequent award.

PLEASE ATTACH TO THIS FORM A COPY OF YOUR CERTIFICATE OF INSURANCE INDICATING CURRENT LIMITS.

Firm: _____ President: _____

Address: _____ Phone: _____

_____ Fax: _____

Federal ID#: _____ Contact: _____ Former Company Name: _____

Years performing work specialty: _____ Work Now Under Contract: \$ _____

Bank Reference: _____ Work in Place Last Year: \$ _____

Address: _____ Average Annual Sales Last 3 Years.: \$ _____

_____ Value Of Capital Equipment Owned:\$ _____

Bonding Company: _____ Bonding Agent: _____

Total Bonding Capacity: \$ _____ Address: _____

Work Currently Bonded: \$ _____ _____

Work Now Under Contract: \$ _____

Bonding Companies Best Rating: _____ Bonding Agent's Phone: _____

Is Firm In Compliance with all EEO Requirements?: Y N

Has Firm Ever Failed To Complete A Contract?: Y N

Has Firm Ever Been Involved In Bankruptcy Or Re-organization?: Y N

Are There Any Claims Against The Firms?: Y N

Are There Any Pending Judgments Against The Firm?: Y N

(ANSWER IS YES TO ANY OF THE ABOVE PLEASE DESCRIBE ON A SEPARATE SHEET)

Insurance: ("CLAIMS-MADE" GENERAL LIABILITY IS UNACCEPTABLE)

Submit Sample Certificate Of Insurance Showing Coverage And Limits For General Liability, Automobile Liability, Excess Umbrella Liability, And Worker's Compensation.

Experience Modification Rating: _____ Agency's Name: _____

Contact's Name: _____ Contact's Phone: _____

Safety:

Attach Log And Summary Of OSHA violations and any fines or settlements for the past 36 months

Attach Log And Summary Of Occupational Injuries And Illnesses As Required By the U.S. Dept. Of Labor For The Past 36 Months (OSHA Form No. 200)

OSHA Recordable Incident Rate (Current Year): _____ OSHA Lost Days Away Incident Rate (Current Year) _____

Taxiways 'C' South & 'D' West Shoulder
 Removal, New Lighting and Signage
 Groton-New London Airport
 Groton, Connecticut
 CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 20 of 34

List Four (4) Or More Most Applicable Projects Completed Within The Last Five (5) Years:

Project & Location	Engineer	Contract With	Contract Amount	Date Completed	Reference Contact & Phone Number

PLEASE ATTACH ADDITIONAL SHEET IF NECESSARY

Please list **key personnel** available for project and describe responsibilities of each:

Total Firm Staff Employed for this project: _____ Total Staff Employed Firm-wide: _____

Please list **key equipment** available for project and whether Firm owns or leases equipment:

BIDDER CERTIFICATION FORMS

List Three (3) Or More Most Applicable Projects Presently Under Construction:

Project & Location	Engineer	Contract With	Contract Amount	Date Completed	Reference Contact & Phone Number

PLEASE ATTACH ADDITIONAL SHEET IF NECESSARY

THE APPARENT LOW BIDDER SHALL PROVIDE A COPY OF THEIR COMPANY'S FINANCIAL STATEMENT, CERTIFIED BY PUBLIC ACCOUNTANT, WITHIN 5 DAYS OF REQUEST BY CAA.

This Form **MUST** Be Signed By An Officer Of The Firm Or An Individual So Authorized By An Officer Of The Firm.

Signature: _____

Name: _____

Title: _____

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 22 of 34

PAGE LEFT BLANK INTENTIONALLY

BIDDER CERTIFICATION FORMS

BIDDER'S PROJECT SUBCONTRACTORS

The Bidder advises herein that the following Subcontractors are proposed for the item of work listed. Subcontractors are subject to review and approval per the requirements of the Contract Documents and the technical requirements specified. List only firms that will supply labor at the site. **Failure to provide this information may result in the bid being considered non-responsive.**

DBE SUBCONTRACTOR	CONTRACT WORK ITEM (Y/N) TRADE	VALUE TO BE PERFORMED	(\$)
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
4. _____	_____	_____	_____
5. _____	_____	_____	_____
6. _____	_____	_____	_____
7. _____	_____	_____	_____
8. _____	_____	_____	_____
9. _____	_____	_____	_____
10. _____	_____	_____	_____
11. _____	_____	_____	_____
12. _____	_____	_____	_____
13. _____	_____	_____	_____
14. _____	_____	_____	_____
15. _____	_____	_____	_____

RESPECTFULLY SUBMITTED:

(Bidder)
By: _____
Title: _____
Date: _____

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 24 of 34

PAGE LEFT BLANK INTENTIONALLY

**CERTIFICATION OF COMPLIANCE WITH
STATE REQUIRED CONTRACT REQUIREMENTS
AND
FEDERALLY REQUIRED CONTRACT REQUIREMENTS**

The Bidder certifies, by submission of this proposal or acceptance of this, that he/she has read the State of Connecticut contract requirements as listed in Section 00 0700 *State Contract Conditions* and the federally mandated contract requirements as listed in Section 00 0720, *Federal Contract Conditions* and Section 00 0730, *Executive Orders* and that compliance with said references will be incorporated into the terms of the Contract documents.

I HEREBY CERTIFY THAT I HAVE READ AND WILL COMPLY WITH ALL OF THE ABOVE REQUIREMENTS.

Signature of Authorized Contractor and/or Consultant Representative

Date

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: [2023-012](#)

BIDDER CERTIFICATION FORMS

Page 26 of 34

PAGE LEFT BLANK INTENTIONALLY

BIDDER CERTIFICATION FORMS

Page 27 of 34

CERTIFICATE OF BUY AMERICAN COMPLIANCE FOR MANUFACTURED PRODUCTS

(Non-building construction projects OR equipment acquisition projects)

As a matter of bid responsiveness, the Bidder must complete, sign, date, and submit this certification statement with their proposal. The Bidder or must indicate how they intend to comply with 49 USC § 50101 by selecting one on the following certification statements. These statements are mutually exclusive. Bidder must select one or the other (not both) by inserting a checkmark (✓) or the letter "X".

- Bidder hereby certifies that it will comply with 49 USC § 50101 by:
- Only installing steel and manufactured products produced in the United States, or;
 - Installing manufactured products for which the FAA has issued a waiver as indicated by inclusion on the current FAA Nationwide Buy American Waivers Issued listing, or;
 - Installing products listed as an Excepted Article, Material or Supply in Federal Acquisition Regulation Subpart 25.108.

By selecting this certification statement, the Bidder agrees:

- To provide to the Owner evidence that documents the source and origin of the steel and manufactured product.
- To faithfully comply with providing US domestic product
- To furnish US domestic product for any waiver request that the FAA rejects
- To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

- The Bidder hereby certifies it cannot comply with the 100% Buy American Preferences of 49 USC § 50101(a) but may qualify for either a Type 3 or Type 4 waiver under 49 USC § 50101(b). By selecting this certification statement, the apparent Bidder with the apparent low bid agrees:
- To the submit to the Owner within 15 calendar days of the bid opening, a formal waiver request and required documentation that support the type of waiver being requested.
 - That failure to submit the required documentation within the specified timeframe is cause for a non-responsive determination may result in rejection of the proposal.
 - To faithfully comply with providing US domestic products at or above the approved US domestic content percentage as approved by the FAA.
 - To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

Required Documentation

Type 3 Waiver - The cost of the item components and subcomponents produced in the United States is more that 60% of the cost of all components and subcomponents of the "item". The required documentation for a type 3 waiver is:

- Listing of all product components and subcomponents that are not comprised of 100% US domestic content (Excludes products listed on the FAA Nationwide Buy American Waivers Issued listing and products excluded by Federal Acquisition Regulation Subpart 25.108; products of unknown origin must be considered as non-domestic products in their entirety)
- Cost of non-domestic components and subcomponents, excluding labor costs associated with final assembly at place of manufacture.

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 28 of 34

c) Percentage of non-domestic component and subcomponent cost as compared to total "item" component and subcomponent costs, excluding labor costs associated with final assembly at place of manufacture.

Type 4 Waiver – Total cost of project using US domestic source product exceeds the total project cost using non-domestic product by 25%. The required documentation for a type 4 of waiver is:

- a) Detailed cost information for total project using US domestic product
- b) Detailed cost information for total project using non-domestic product

False Statements: Per 49 USC § 47126, this certification concerns a matter within the jurisdiction of the Federal Aviation Administration and the making of a false, fictitious or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code.

DATE

Signature

Company Name

Title

BIDDER CERTIFICATION FORMS

EQUAL EMPLOYMENT OPPORTUNITY REPORT STATEMENT

As Required by 41 CFR 60-1.7(b)

The Bidder (proposer) shall complete the following statement by checking the appropriate boxes. Failure to complete these blanks may be grounds for rejection of bids.

1. The Bidder (proposer) has _____ has not _____ developed and has on file at each establishment Affirmative Action Programs pursuant to 41 CFR 60-1.4 and 41 CFR.
2. The Bidder (proposer) has _____ has not _____ participated in any previous contract or subcontract subject to the Equal Opportunity Clause prescribed by Executive Order 11246, as amended.
3. The Bidder (proposer) has _____ has not _____ filed with the Joint Reporting Committee the annual compliance report on Standard Form 100 (EEO-1 Report).
4. The Bidder (proposer) does _____ does not _____ employ fifty (50) or more employees.

(Name of Bidder)

BY:

(Signature)

TITLE:

DATE:

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 30 of 34

PAGE LEFT BLANK INTENTIONALLY

BID BOND

KNOW ALL MEN BY THESE PRESENT that we, _____
as Principal, and _____ as Surety,
are held and firmly bound unto the Connecticut Airport Authority, hereinafter called the Owner,
in the Penal sum of _____
Dollars (\$ _____) for the payment of which sum will and to be
made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly
and severally firmly by these presents.

WHEREAS, the Principal, on the _____ day of _____, 20____ submitted a
bid to the Owner, hereto attached, for Project entitled:

**Taxiways 'C' South & 'D' West Shoulder Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Construction Contract No. 2023-012**

NOW THEREFORE, IF THE Principal shall not withdraw said Bid(s) within One Hundred
Twenty (120) calendar days after date of opening of the same, and shall **within ten (10)
calendar days** after the prescribed forms are presented to him for signature, enter into a written
Contract with the Obligee in accordance with the Bid(s) as accepted, and give a Performance
Bond and a Payment Bond with good and sufficient surety or sureties, as required by the
Contract Documents, for the faithful performance and proper fulfillment of such Contract and for
the proper payment of all persons furnishing labor or materials in connection therewith, or in the
event or withdrawal of said Bid(s) within the period specified, or in the event of failure to enter
into such Contract and give such Bonds within the time specified, if the Principal shall pay the
Obligee the difference between the amount specified in said Bid(s) and the amount of which the
Obligee may procure the required work and/or supplies, provided the latter amount be in excess
of the former then the above obligations shall be void and of no effect; otherwise, to remain in
full force and virtue.

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 32 of 34

IN WITNESS WHEREOF, the Principal and Surety have hereunto caused this Bond to be duly executed and acknowledged by their appropriate officials as set forth below this _____ day of _____, 20_____.

PRINCIPAL (If Sole Proprietor or Partnership)

(Firm Name)

ATTEST
(SEAL)

By: _____

(PRINT NAME)

Title (Sole Proprietor or Partner)

PRINCIPAL (If Corporation)

(Firm Name)

By: _____

(PRINT NAME - President)

(Impress Corporate Seal)

Attest: _____

(PRINT NAME - Secretary)

SURETY:

(Surety Company Name)

By: _____

Attorney-in-Fact
(Power of Attorney Must Be Attached)
(Impress Corporate Seal)

BIDDER CERTIFICATION FORMS

Consulting Agreements Representation.

Pursuant to section 4a-81 of the Connecticut General Statutes, the Contractor represents that it has not entered into any consulting agreements in connection with this Contract, except for the agreements listed below. "Consulting agreement" means any written or oral agreement to retain the services, for a fee, of a consultant for the purposes of (A) providing counsel to a contractor, vendor, consultant or other entity seeking to conduct, or conducting, business with the State, (B) contacting, whether in writing or orally, any executive, judicial, or administrative office of the State, including any department, institution, bureau, board, commission, authority, official or employee for the purpose of solicitation, dispute resolution, introduction, requests for information, or (C) any other similar activity related to such contracts. "Consulting agreement" does not include any agreements entered into with a consultant who is registered under the provisions of chapter 10 of the Connecticut General Statutes as of the date such contract is executed in accordance with the provisions of section 4a-81 of the Connecticut General Statutes.

Consultant's Name and Title

Name of Firm (if applicable)

Start Date

End Date

Cost

The basic terms of the consulting agreement are:

—

—

Description of Services Provided:

Is the consultant a former State employee or former public official? YES NO

If YES:___

Name of Former State Agency

Termination Date of Employment

Access to Contract and State Data.

The Contractor shall provide to the CAA access to any data, as defined in Conn. Gen Stat. Sec. 4e-1, concerning the Contract and the CAA that are in the possession or control of the Contractor upon demand and shall provide the data to the CAA in a format prescribed by the CAA and the State Auditors of Public Accounts at no additional cost.

Taxiways 'C' South & 'D' West Shoulder
Removal, New Lighting and Signage
Groton-New London Airport
Groton, Connecticut
CAA Contract No.: 2023-012

BIDDER CERTIFICATION FORMS

Page 34 of 34

The undersigned, being the person signing the Contract, swears that the representation in the Consulting Agreements Representation provision in this Contract is true to the best of my knowledge and belief, and is subject to the penalties of false statement.

Signature of person signing this Contract

Print Name

Date: _____

Sworn and subscribed before me on this _____ day of _____, 20____.

Commissioner of the Superior Court
or Notary Public

My Commission Expires



STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE: Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)
 Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Lawful Campaign Contributions to Candidates for the General Assembly:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Contractor Name

Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this day of , 20

Commissioner of the Superior Court (or Notary Public)

My Commission Expires





**STATE OF CONNECTICUT
CONSULTING AGREEMENT AFFIDAVIT**

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. **If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1):** Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: _____]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, **except for the agreement listed below**:

Consultant's Name and Title

Name of Firm (if applicable)

Start Date

End Date

Cost

Description of Services Provided: _____

Is the consultant a former State employee or former public official? YES NO

If YES: _____
Name of Former State Agency

Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Name of Bidder or Contractor

Signature of Principal or Key Personnel

Date

Printed Name (of above)

Awarding State Agency

Sworn and subscribed before me on this _____ day of _____, 20_____.

**Commissioner of the Superior Court
or Notary Public**

My Commission Expires

PAGE LEFT BLANK INTENTIONALLY